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1 **Pharmacokinetics of the protein microbicide 5P12-RANTES in**
2 **sheep following single dose vaginal gel administration**

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12
13 **Running head:** Pharmacokinetics of 5P12-RANTES vaginal gel in sheep

14
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16 HIV/AIDS

Abstract

5P12-RANTES, a chemokine analogue that potently blocks the HIV CCR5 co-receptor, is being developed as both a vaginal and rectal microbicide for prevention of sexual transmission of HIV. Here, we report the first pharmacokinetic data for 5P12-RANTES, following single dose vaginal gel administration in sheep. Aqueous gel formulations containing low (1.24 mg/mL), intermediate (6.16 mg/mL) and high (32.0 mg/mL; suspension-type gel) concentrations of 5P12-RANTES were assessed via rheology, syringeability and *in vitro* release testing. Following vaginal gel administration in sheep, 5P12-RANTES concentrations were measured in vaginal fluid, vaginal tissue and serum over a 96-h period. All gels showed non-Newtonian pseudoplastic behaviour, with high concentration gels exhibiting greater viscosity and cohesive structure. In *in vitro* release testing, >90% 5P12-RANTES was released from the low and intermediate gels after 72 h. For the high concentration gel, ~50% 5P12-RANTES was detected, attributed to protein denaturation during lyophilisation and/or subsequent solvation of the protein within the gel matrix. In sheep, 5P12-RANTES concentrations in vaginal fluid, tissue and serum increased in a dose dependent manner. Highest concentrations were measured in fluid (10^5 – 10^7 ng/mL) followed by tissue (10^4 – 10^6 ng/mL) – both several orders of magnitude above reported half maximal inhibitory concentrations – and lowest in serum ($< 10^2$ ng/mL). The 5P12-RANTES pharmacokinetic data is similar to that reported previously for other candidate microbicides. These data, coupled with 5P12-RANTES's picomolar potency, its strong barrier to resistance, and full protection observed in a rhesus macaque vaginal challenge model, support the continued development of 5P12-RANTES as a microbicide.

Introduction

In the continued absence of an effective vaccine against HIV, development of vaginally-administered microbicide products remains a priority biomedical strategy aimed at addressing the urgent and yet unmet need for reducing women's risk of sexually-transmitted infection with HIV, particularly in developing countries (1, 2). Small-molecule antiretrovirals – most notably dapivirine (3–10), tenofovir (11–15) and tenofovir disoproxil fumarate (14, 16–19) – are currently the primary focus for the microbicide field, offering relatively low costs for synthesis of the active pharmaceutical ingredient, a diverse range of practical formulation options, and potent antiviral activity targeted at the reverse transcription step in the HIV replication cycle. However, there is a strong rationale for the development of new antiviral agents for HIV prevention that overcome some of the disadvantages associated with these lead candidate, small molecule, antiretroviral microbicides, including the potential emergence of resistant viruses and their post-entry mechanism of action (20–22). By comparison, many of the larger molecular weight biopharmaceutical compounds that have been or are being considered as vaginal microbicides – including cyanovirin-N (23–25), Griffithsin (26, 27), 5P12-RANTES (28, 29), T-1249 peptide (30), retrocyclin RC-101 (31) and monoclonal antibodies (32–34) – generally exhibit greater antiviral potency than current small molecule antiretrovirals (at least in *in vitro* models) and usually act prior to viral entry into the host cell by either directly targeting the free virus or by blocking cell receptors (35–38).

PSC-RANTES is a highly potent CCR5-inhibiting protein and an analogue of the natural CCR5 chemokine ligand RANTES (39). PSC-RANTES interaction with the CCR5 receptor leads to

60 intracellular sequestration of the receptor and prevention of HIV binding and infection (40).
61 Although PSC-RANTES has previously shown full protection in a macaque challenge study (41), it
62 requires expensive chemical synthesis (28). 5P12-RANTES, by comparison, is a fully recombinant
63 analogue of PSC-RANTES that was first identified using a modified phage display selection
64 strategy (42). Similar to PSC-RANTES, 5P12-RANTES exhibits picomolar anti-HIV potency and
65 afforded complete protection against SHIV infection in a rhesus vaginal challenge study (29, 42).
66 Notably, in that challenge study, 5P12-RANTES was administered vaginally in a PBS solution 30
67 min prior to simian human immunodeficiency virus (SHIV) exposure. Clinical grade 5P12-RANTES
68 can be produced to cGMP standards using low-cost production through industrial microbial
69 fermentation methods commonly employed for proteins used in the food and detergent
70 industries (42, 43). Furthermore, this analogue inhibits the CCR5 receptor without inducing
71 receptor internalization or signal activation (42). This is particularly important from a clinical
72 perspective as CCR5 activation can induce inflammation, a risk factor linked to enhanced
73 susceptibility to HIV infection (44).

74
75 As part of our ongoing efforts towards developing sustained release vaginal formulations for
76 5P12-RANTES (including new vaginal ring strategies), we report here for the first time the
77 pharmacokinetics of vaginally-administered 5P12-RANTES measured following single dose
78 aqueous gel administration in the sheep model. The study is the first to report pharmacokinetic
79 data for 5P12-RANTES.

80

81

Results

Visual appearance of gels

The low and intermediate concentration 5P12-RANTES gels were clear viscous gels, similar in visual appearance and viscosity to the placebo microbicide gel. The high concentration gel, in which 5P12-RANTES was deliberately incorporated above its solubility limit, was white in appearance due to the presence of suspended lyophilised 5P12-RANTES material.

Rheological and syringeability assessment of 5P12-RANTES gels

Rheograms of viscosity vs. shear rate are presented in Fig. 1A, and indicate non-Newtonian shear thinning pseudoplastic behaviour, meaning that the viscosity decreases with increasing shear rate. Apparent gel viscosity, as measured by application of the Power Law, also generally increased with increasing 5P12-RANTES concentration (Figure 1B). Significantly higher viscosity values were measured for high dose gels compared to blank, low and medium dose gels, attributed to the presence of both solid (i.e. dispersed lyophilisate) and dissolved 5P12-RANTES in the high dose gel and only dissolved 5P12-RANTES in the low and intermediate gels. Intermediate and low gels had a significantly greater viscosity than the blank gel, attributed to the pH of the 5P12-RANTES stock solution (6.4 mg/mL in 1.7 mM acetic acid; pH 4.0) weakly affecting the HEC gel.

Syringeability is a measure of the work required to expel a liquid-based or semi-solid formulation (including vaginal gels) from an applicator or through a syringe needle (Figure 1C) (45–50).

103 Syringeability values ranged from 107–130 N.mm and increased with 5P12-RANTES loading,
104 although differences were mostly not significant (Figure 1D).

105

106 *In vitro release of 5P12-RANTES*

107 The *in vitro* cumulative release vs. time plots for 5P12-RANTES gel formulations into SVF are
108 presented in Figure 2 and reveal the effect of initial 5P12-RANTES loading on drug release. The
109 low and intermediate gels provided release of >90% of the original 5P12-RANTES loading after 72
110 h (4.6 and 32.6 mg, respectively). However, the high concentration gel provided only 50% release
111 (64.4 mg), despite there being no gel residue visible (or dispersed 5P12-RANTES lyophilisate
112 material) at the end of the release experiment.

113

114 *Sheep pharmacokinetics*

115 The three HEC gel formulations containing 5P12-RANTES showed no detrimental effects on the
116 health of the animals. 5P12-RANTES was measured in all post-dose vaginal fluid samples (Figure
117 3a), with mean values steadily declining from a high of 10^6 – 10^7 ng/g 1 h after dosing to 10^2 – 10^4
118 ng/g 96 h after dosing. For most sampling timepoints, vaginal fluid concentrations of 5P12-
119 RANTES increased with increasing 5P12-RANTES loading in the gel. (It should be noted that Weck-
120 Cel vaginal sampling cannot differentiate between sampling of any gel resident in the vagina and
121 sampling of the fluid itself.)

122

123 5P12-RANTES was also measured in all post-dose vaginal biopsy homogenate samples (Figure
124 3b); 12 h after gel dosing, mean 5P12-RANTES concentrations values in tissue were 1.75×10^5 ,

125 3.53×10^5 and 1.03×10^6 ng/g for the low, intermediate and high gels, respectively. At 96 h, mean
126 tissue levels for the high concentration gel (the only gel formulation for which tissue biopsies
127 were taken at this timepoint) had declined to 9.2×10^3 ng/g.

128
129 5P12 RANTES was detected in some, but not all, serum samples, at concentrations <70 ng/mL.
130 Of the 36 serum samples taken for each gel formulation (4 sheep x 9 timepoints), 18, 13 and 4
131 samples in the low, intermediate and high gel groups, respectively, had 5P12-RANTES
132 concentrations below the lower limit of quantification (0.176 ng/mL). Plots of mean (n=4) 5P12-
133 RANTES concentration vs. time are presented in Figure 3c for the three gel formulations. For
134 the most part, the profiles are similar, showing peak concentrations between 3 and 8 h after
135 dosing and subsequently declining to ~0.2 ng/mL by the 96 h timepoint (Table 1). The serum
136 concentrations measured for the intermediate and high concentration gels are similar, despite
137 the higher 5P12-RANTES loading in the high concentration gel.

138
139 Based on these pharmacokinetic profiles, the key pharmacokinetic parameters – C_{max} , t_{max} and
140 AUC – are reported in Table 1. The C_{max} and AUC values in both serum and vaginal fluid increase
141 with increasing dose. The relatively small and non-linear increase observed on comparing the
142 high dose gel with the intermediate dose gel is due to the presence of solid 5P12-RANTES in the
143 high dose gel. Serum t_{max} values for 5P12-RANTES, although variable, ranged from 1–96 h, and
144 most commonly 4 h. In vaginal fluid, t_{max} values were also variable but mostly in the range 1 to
145 12 h post dosing. Finally, individual sheep PK profiles are presented in Figure 4.

146

Discussion

In this study, vaginally-administered 5P12-RANTES was measured following single dose aqueous gel administration in sheep. The pseudoplastic behaviour of the gel, and indeed the general magnitude of the viscosity values, is typical of gels intended for vaginal drug administration, as reported previously for aqueous hydroxyethylcellulose gels and non-aqueous silicone gels (50–57). From a clinical perspective, pseudoplasticity offers certain advantages and disadvantages. Gels will likely spread more easily at higher shear rates (during coitus) or following dilution with vaginal fluids or semen leading to increased distribution in the vaginal environment and enhanced tissue coverage (51). However, there is also an increased likelihood of leakage of the gel from the vagina (58–60). The syringeability values are higher than values reported previously for other water-based vaginal gels (e.g. 35–67 N.mm for rheologically structured vehicles (46, 49), and 4.4 N.mm for Replens™ vaginal moisturiser (49)), although the test method used in this study involved testing expulsion of a greater quantity of gel (4 g vs. 3 g) through a greater plunger distance (50 mm vs. 30 mm) and the use of a commercial plastic vaginal applicator rather than a general purpose disposable plastic syringe. All things considered, the syringeability values measured translate into ease and practicality of gel product administration, as verified by the animal handlers.

In the *in vitro* release testing study, a relatively low release/recovery value (~50%) for 5P12-RANTES was noted for the high dose gel, compared to >90% release from the low and intermediate gels. We attribute this low recovery to the rendering of the 5P12-RANTES inactive

168 following the lyophilisation process and/or the subsequent dissolution of the lyophilisate in the
169 *in vitro* release medium.

170

171 5P12-RANTES has previously been shown to be stable by *in vitro* cell fusion assay (i) when
172 exposed to elevated temperatures (up to 55°C in water for 24 h and 40°C for 1 week), (ii) at normal
173 vaginal pH for 24 h, (iii) and when incubated with human cervicovaginal lavage or human semen
174 at 37°C for 24 h (39). The data presented here suggest for the first time that 5P12-RANTES is also

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175 sufficiently stable *in vivo* to allow detection and measurement of the protein by Weck-Cel
176 sampling of sheep vaginal fluid, as reported previously for various small molecule HIV
177 microbicides (61–64). However, as previously noted for the *in vitro* fusion assay (39), protein
178 modifications that may affect 5P12-RANTES activity can not be completely excluded due to using
179 ELISA and more appropriate analytical techniques will be required when undertaking further
180 preclinical development. Only a small number of studies describing vaginal delivery of peptides
181 or proteins, and particularly systemic absorption, are reported in the literature (65–69).

182

183 5P12-RANTES has previously been shown to fully protect against SHIV infection in a rhesus
184 macaque vaginal challenge model following vaginal administration of 4 mL of a 1 mmol/L 5P12-
185 RANTES solution in PBS (29). For reference, 1 mmol/L = 7.90 mg/mL based on a molecular
186 weight of 7904.8 g/mol for 5P12-RANTES, and therefore the overall dose administered in the
187 macaque challenge study was 31.6 mg (compared to the 24.7 mg dose administered in this
188 study using the intermediate concentration gel). In that challenge study, inoculation with 300
189 TCID₅₀ SHIV162P3 occurred 30 min after gel dosing. Based on our pharmacokinetic data in

190 sheep which used the same dosing volume of 5P12-RANTES gel, and assuming similar
191 distribution and drug absorption characteristics between the species, we estimate 5P12-
192 RANTES concentrations in the protected macaques at the time of challenge of $\sim 10^7$ ng/g in
193 vaginal fluid, $\sim 10^5$ ng/g in vaginal tissue, and ~ 1 ng/mL in serum.

194

195 The 5P12-RANTES concentrations measured here in the three biological compartments in sheep
196 are, in fact, similar in magnitude to those measured for other microbicide candidates
197 administered to women by vaginal gel (60, 70–72). Clearly, the inclusion of additional dispersed
198 5P12-RANTES in the high concentration gel beyond the solubility limit achieved with the
199 intermediate gel does not drastically contribute to increased absorption of 5P12-RANTES.
200 However, the high dose formulation might give rise to sustained release due to a depot-type
201 effect following vaginal administration of the gel. The PK data does seem to support the
202 hypothesis that lyophilized 5P12-RANTES contained within the gel leads to extended
203 pharmacokinetic exposure as indicated with vaginal fluid at the 72 and 96 h time points, despite
204 the limited recovery of 5P12-RANTES from the lyophilized material *in vitro*. Further analysis
205 reveals that the t_{max} values are greater compared with those usually measured for small
206 molecule antiretrovirals, such as maraviroc (73), and indicate that 5P12-RANTES is less well
207 absorbed by the systemic compartment. This is not surprising given the relatively large
208 molecular weight and greater hydrophilic character of 5P12-RANTES. Overall, these serum data
209 demonstrate that solubilised 5P12-RANTES within the gel formulations is capable of being
210 absorbed into the systemic compartment and that serum concentrations are significantly lower
211 than vaginal fluid and tissue levels, as is preferred for any locally acting HIV microbicide.

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212

213 Following previous data demonstrating that vaginal administration of 5P12-RANTES offered full
214 protection in a macaque vaginal challenge model (29) and that 5P12-RANTES is biologically stable
215 (39, 74), the 5P12-RANTES pharmacokinetic data presented here in sheep is encouraging and
216 supports continued development of 5P12-RANTES as a low-cost, stable, highly potent, HIV
217 microbicide candidate.

Commented [JM3]: Response 17

218 **Materials and Methods**

219 *Ethics*

220 The study was carried out in compliance with applicable sections of the United Kingdom Animals
221 (Scientific Procedures) Act 1986 Amendment Regulations 2012. The study complied with the
222 applicable sections of the Codes of Practice for the Housing and Care of Animals used in Scientific
223 Procedures, and the Humane Killing of Animals under Schedule 1 of the Act.

224

225 *Materials*

226 A solution of recombinant 5P12-RANTES, 7.9 kDa, (6.4 mg/mL in 1.7 mM acetic acid; pH 4.0)
227 produced as described previously(43) was supplied to Queen’s University Belfast (Belfast, UK) by
228 collaborators at the Mintaka Foundation for Medical Research (Geneva, Switzerland).
229 Pharmaceutical grade hydroxyethylcellulose (Natrosol® 250HX Pharm; HEC) and glycerol were
230 obtained from Ashland UK Limited (Manchester, UK). Sorbic acid (preservative), sodium chloride,
231 potassium hydroxide, calcium hydroxide, lactic acid, acetic acid, glucose, and bovine serum
232 albumin (BSA) (most for preparation of simulated vaginal fluid) were purchased from Sigma-
233 Aldrich (Gillingham, UK) (75). Urea was obtained from VWR International (UK). Polyethylene
234 vaginal applicators (pre-filled design; capped; volume 5.5 cm³) were purchased from HTI Plastics
235 (Lincoln, Nebraska, USA).

236

237 *Preparation of 5P12-RANTES loaded HEC gels*

238 The composition of the 5P12-RANTES gel formulations was based on the Universal Placebo gel
239 that has previously been developed as a control gel for clinical testing of vaginal microbicides [+

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240 **3 REFS]** Separate gel batches were prepared for *in vitro* and pharmacokinetic testing. For each
241 batch of intermediate concentration 5P12-RANTES gel (6.18 mg/mL), 0.1% w/w sorbic acid
242 (0.0250 g), 0.85% w/w sodium chloride (0.2125 g), and 2.7% w/w HEC (0.6750 g) were added to
243 25 mL of the 5P12-RANTES stock solution and then mixed in a SpeedMixer™ (2 x 30 s at 3000
244 rpm; DAC 150 FVZ-K, Hauschild, Germany). The resulting gel was allowed to fully hydrate
245 overnight at 4–6°C. For the low concentration gel (1.24 mg/mL), the stock 5P12-RANTES
246 solution was first diluted 1:4 with Type 1 water (Millipore Direct-Q 3 UV Ultrapure Water
247 System, Watford, UK), before addition of 0.1% w/w sorbic acid, 0.85% w/w sodium chloride,
248 and 2.7% w/w HEC. For manufacture of the high concentration gel, 0.1% w/w BSA was first
249 added to the stock 5P12-RANTES solution and 20 mL aliquots of the resulting solution
250 lyophilised (AdVantage Pro BenchTop Freeze Dryer, VirTis. Gardiner, NY, USA) in individual petri
251 dishes of known weight. The freeze-drying procedure was as follows; material was frozen by
252 cooling from 5 to –40°C over 1 h, with additional freeze of 2 h. The condenser was set to -50°C
253 with initial chamber pressure of 50 mTorr. The shelf was then raised to 20°C over 27 h with
254 simultaneous increase in chamber pressure as required. The required weight of the resulting
255 lyophilisate was added slowly with gently with gentle manual mixing to ultrapure Type 1 water
256 containing 0.1% w/w sorbic acid, 0.85% sodium chloride, and 2.7% w/w HEC, speedmixed (2 x
257 30 s at 3000 rpm) and hydrated overnight at 4–6°C to produce the final gel formulation with a
258 5P12-RANTES concentration of 32.0 mg/mL. Gel formulations were adjusted to pH 4.5 ± 0.2 and
259 stored at 4–6°C immediately after preparation.
260

261 Gels were filled into single-use, disposable, screw-top, plastic vaginal applicators. Each gel was
262 mixed (SpeedMixer™; 30 s, 3000 rpm), transferred to a syringe, and 4.00 g gel was slowly and
263 carefully dispensed into each applicator to avoid incorporation of air bubbles. Applicators were
264 then sealed with the screw top. For the sheep study gels were shipped to the animal testing
265 facility under controlled temperature conditions (2–8°C).

266

267 *Rheological and syringeability assessment of gels*

268 The rheological behaviour of the gels was assessed by continuous flow rheometry using an AR
269 2000 Rheometer fitted with a 40-mm diameter stainless steel parallel plate (TA Instruments,
270 USA). Gel samples were applied to the base plate and the steel parallel plate was lowered to a
271 gap distance of 1000 µm. Excess gel was removed before the test. Testing was performed at 37°C
272 in continuous ramp mode from 0.01–100 s⁻¹. Gel viscosity was determined using the Power Law
273 applied to the linear portion of the resulting log-log plot of viscosity vs. shear rate.

274

275 Gel syringeability was assessed by measuring the work required to expel 4.0 g of 5P12-RANTES-
276 loaded HEC gels from plastic vaginal applicators using a Texture Analyser (TA-XT2, Stable
277 Microsystems, UK) fitted with a texture profile analysis (TPA) probe. Applicators were secured
278 vertically and the probe was lowered to 5.0 mm above the barrel plunger. In compression mode,
279 the probe was programmed to move at a rate of 2.0 mm/s through 50 mm upon contact with the
280 plunger (1.0 mm/s before contact, with a trigger force of 0.025 N). The work was calculated by
281 measuring the area under the resultant force-distance plot.

282

283 *In vitro dissolution testing of 5P12-RANTES gels*

284 Simulated vaginal fluid (SVF), modified to include an increased BSA concentration (0.1% w/w) to
285 reduce adsorption of 5P12-RANTES to glassware, was prepared according to previously described
286 methods (75, 76). The SVF was filtered immediately after preparation (sterile Millex-GS Syringe
287 Filter Unit, mixed cellulose ester membrane, Millipore, UK) and stored for a maximum of 3 days
288 at 4–6°C prior to use.

289
290 Each gel (4.0 g) was syringed into a sealed glass flask containing SVF (25 mL) and stored in a
291 shaking orbital incubator (Infors HT AGCH-4103; 37°C, 60 rpm, 25 mm throw). A 1.0 mL sample
292 was taken periodically and replaced with an equivalent volume of fresh SVF. Samples were
293 carefully taken from the top of the SVF volume to avoid disturbing or sampling any residual gel
294 sample. Samples were subsequently stored at –20°C prior to analysis by enzyme-linked
295 immunosorbent assay (ELISA).

296
297 The concentration of 5P12-RANTES in the SVF release medium was measured by ELISA using the
298 Human CCL5/RANTES ELISA kit (R&D Systems, UK, cat no. DRN00B). Samples were analysed per
299 the manufacturer's instructions after appropriate dilutions were made to ensure concentrations
300 fell within the 0.002–2 ng/mL range of the kit. Absorbance was measured at 450 nm using a
301 microplate reader (Enspire Multimode Plate Reader, PerkinElmer, USA) and the optical density
302 at 570 nm was subtracted to correct for plate imperfections. The standard curve was transformed
303 to a four-parameter logistic (4-PL) curve-fit and sample concentrations were calculated relative
304 to it. Concentration readings were subsequently multiplied by the appropriate dilution factor.

305

306 *Sheep pharmacokinetic study*

307 Pharmacokinetic evaluation of the three 5P12-RANTES gel formulations following single dose
308 vaginal administration was conducted at Envigo (Huntingdon, UK) using approximately 3-year old
309 Welsh Mule ewes as the test species. Sheep were housed in indoor pens with wheat straw
310 bedding. The animals were provided with natural light, supplemented with overhead fluorescent
311 lighting as necessary, and full fresh air. The animals grazed on ewe and lamb pencil pelleted diet,
312 with good quality meadow hay *ad libitum* and unrestricted water supply. A total of four sheep
313 were used in the study. Initially, each sheep received a single dose of the low concentration gel,
314 followed by periodic sample collection over a 96-h period, and a subsequent 7-day wash out. The
315 intermediate and high concentration gels were then tested according to the same general
316 schedule. Each animal was restrained in a comfortable standing position on the day of gel
317 administration and a 4.0 g dose administered using a pre-filled plastic vaginal applicator. Clinical
318 condition, body weight, and food consumption were recorded during the study. Blood and
319 vaginal fluid was sampled at multiple time points during all three phases. Blood samples were
320 drawn from the jugular vein and incubated for a minimum of 60 min at room temperature to
321 facilitate clotting. Subsequently, the samples were centrifuged (1500 g, 15 min) to separate
322 serum, and then divided and stored at -20°C in polypropylene tubes. Vaginal fluid was sampled
323 using a pre-weighed Weck-Cel sponge that was again weighed after fluid uptake. The sponge was
324 held in place in the vagina for 1 min and stored at -20°C in borosilicate glass tubes. Vaginal tissue
325 biopsies (5 mm diameter) were sampled from the dorsal aspect of the vagina under local
326 anaesthetic (isoflurane/oxygen used where necessary) once per animal in the low and

327 intermediate gel experiments (12 h post gel application), and twice in the high concentration gel
328 experiment (12 and 96 h post gel application). Analgesia was administered 30 min prior to vaginal
329 biopsy collection. Samples were rinsed with RPMI 1640 and weighed prior to freezing at -70°C .
330 Frozen samples were submitted for analysis to the Envigo's Department of Biomarkers,
331 Bioanalysis and Clinical Sciences (Immunoassay). Briefly, all tissue samples were homogenised in
332 homogenisation buffer (PBS + 2% Triton X-100 + protease inhibitor cocktail) at 4°C and kept on
333 wet ice at all times. Tissue were placed in a minimum of 3 mL of buffer and the ratio of
334 buffer:sample weight was recorded. Samples were homogenated (GentleMACS Dissociator) and
335 centrifuged at 4, 566 g for 10 min. Supernatant was collected into tubes (Watson labelled PP) and
336 placed on wet ice or stored at -70°C . Analysis was performed using a Human CCL5/RANTES ELISA
337 kit, according to the manufacturer's instructions.

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Commented [JM6]: Response 8

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345 **Transparency declarations**

346 O.H. is the inventor on a patent concerning 5P12-RANTES. It is held by the Mintaka Foundation
347 for Medical Research, a foundation registered in Geneva, Switzerland. O.H. and R.E.O. are

348 cofounders of the Mintaka Foundation, with the roles of Chief Scientific Officer and Chief
349 Executive Officer, respectively. Other authors have nothing to declare.

350

351 **Author contributions**

352 R.K.M., J.M., V.K., R.E.O, P.B and O.H conceived the idea for the study and analysis. J.M.
353 manufactured the gels and tested their in vitro performance, under supervision from R.K.M.,
354 P.B. and V.K. N.D. and D.C. supervised completion of the sheep pharmacokinetic study. Data
355 analysis was performed by J.M., R.K.M, D.C. and N.D., and the pharmacokinetic study report
356 was drafted by N.D. and D.C., with input from R.K.M. and J.M. R.K.M. and J.M. drafted the
357 manuscript. All authors provided critical reading that further developed the manuscript.

358

359 **Disclaimer**

360 The views expressed in this publication are those of the authors and not necessarily those of
361 the Wellcome Trust.

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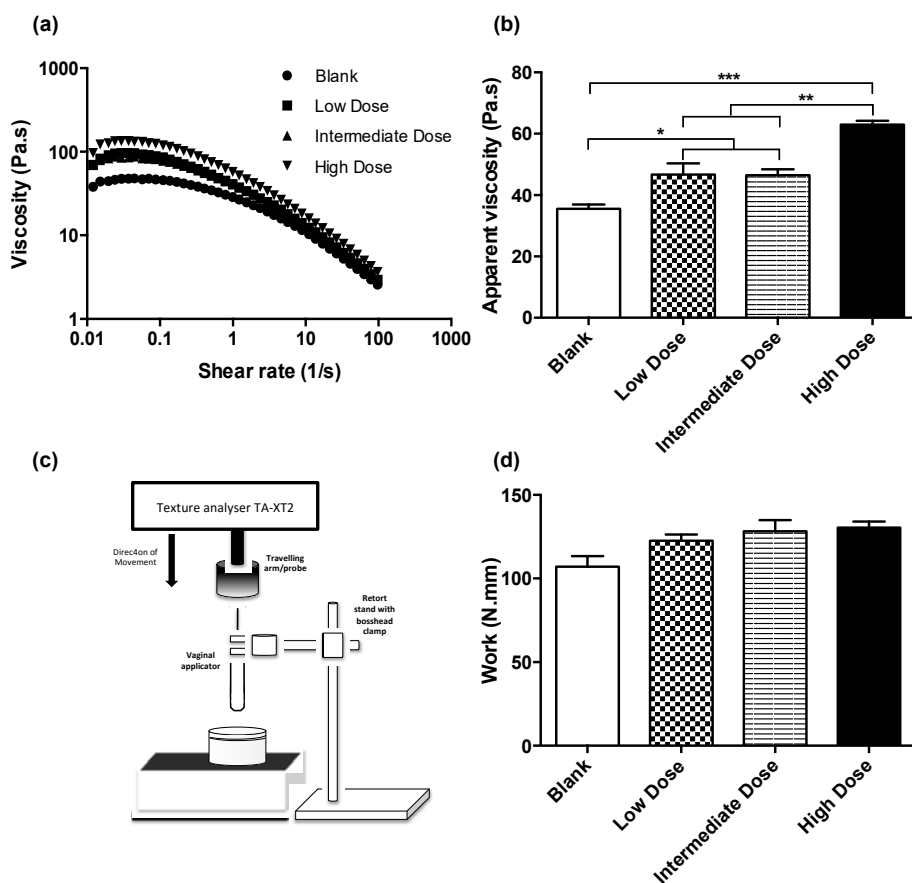


Figure 1. Characterisation of hydroxyethylcellulose (HEC) gels containing low dose: 1.24 mg/ml 5P12-RANTES; intermediate dose: 6.18 mg/ml 5P12-RANTES; high dose: 32 mg/ml 5P12-RANTES. **(a)** Viscosity of gel formulations determined by continuous flow rheology and **(b)** through application of the Power Law was to determine apparent viscosity. Results displayed as mean + SEM, $n=3$. Significance determined by one-way ANOVA post hoc pairwise Tukey's test, $*P<0.05$,

619 ** $P < 0.005$, *** $P < 0.0005$. (c) Setup for syringeability testing using a texture profile analyser (TA-
620 XT2) and a vaginal applicator filled with gel which was employed to determine the (d) work
621 required (N.mm) to expel 4 g of gel, $n=3$, mean + SEM.

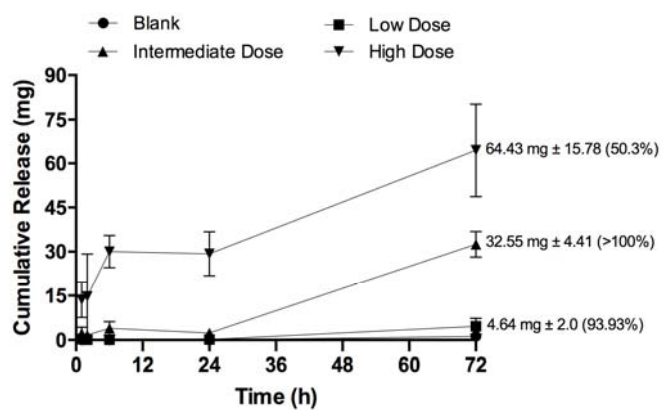


Figure 2. Cumulative release of 5P12-RANTES from hydroxyethylcellulose (HEC) gels containing a low (1.24 mg/g), intermediate (6.18 mg/g) or high (32.0 mg/g) dose of the CCR5 inhibiting peptide, as detected by ELISA. Gels were incubated in simulated vaginal fluid, 37°C, throughout the study, $n=3$, mean \pm SEM.

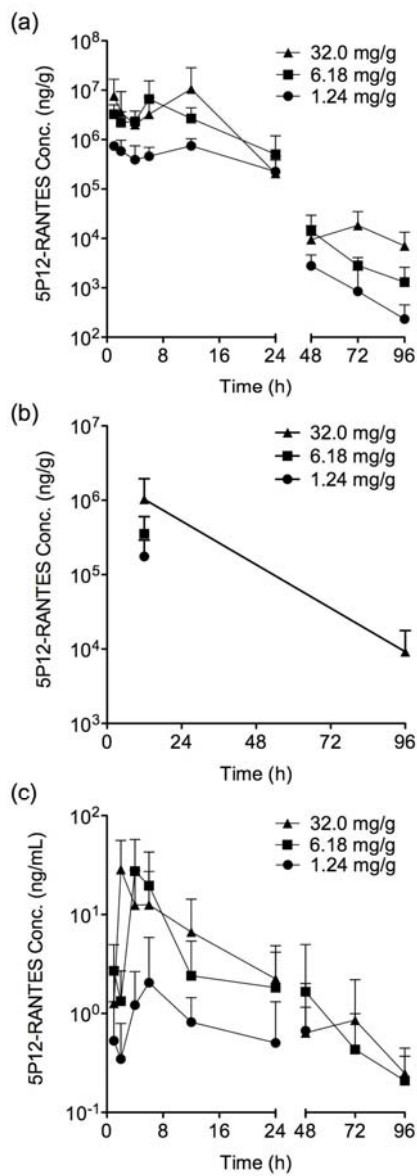
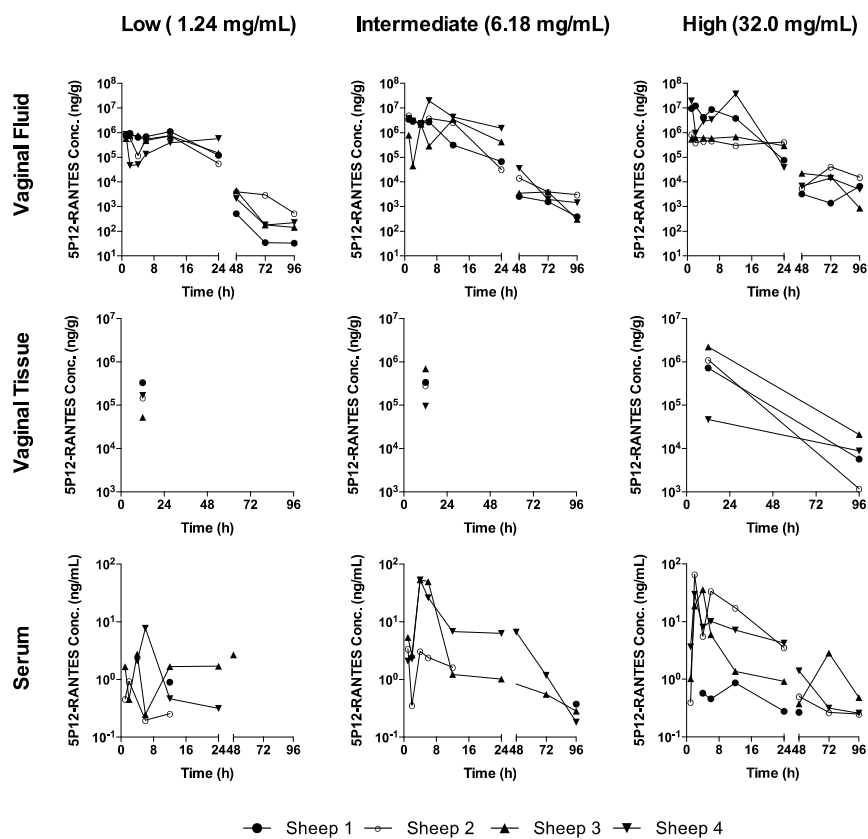


Figure 3. Pharmacokinetic concentrations of 5P12-RANTES in (a) vaginal fluid, (b) vaginal tissue, and (c) serum of sheep following single dose vaginal administration of hydroxyethylcellulose (HEC) gels containing low (1.24 mg/g), intermediate (6.18 mg/g) and high (32.0 mg/mL) concentrations of 5P12-RANTES. Plot symbols represent mean + standard deviation of four replicates.



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Figure 4. 5P12-RANTES pharmacokinetic profiles for individual sheep following single dose vaginal administration of hydroxyethylcellulose (HEC) gels containing low (1.24 mg/g), intermediate (6.18 mg/g) and high (32.0 mg/mL) concentrations of 5P12-RANTES. Plot symbols represent mean + standard deviation of four replicates.

633 **Table 1.** Description of 5P12-RANTES gel formulations and the concentrations measured in sheep
 634 vaginal fluid and serum vaginal following single dose vaginal gel administration. C_{max} (maximum
 635 serum concentration) and AUC (area under the curve) data are presented as means \pm standard
 636 deviations of four replicates; t_{max} data (time to reach C_{max}) are presented as median and range of
 637 four replicates.
 638

5P12-RANTES conc. in gel (total 5P12-RANTES dose administered)	Vaginal fluid			Serum		
	C_{max} ($\mu\text{g/g}$)	t_{max} (h)	AUC_{0-last} ($\mu\text{g}\cdot\text{h/g}$)	C_{max} (ng/mL)	t_{max} (h)	AUC_{0-last} (ng·h/mL)
1.24 mg/g (4.94 mg)	869 \pm 167	8.0, 1–12	15100 \pm 2600	3.09 \pm 3.23	5.0, 2–12	46.4 \pm 52.0
6.18 mg/g (24.7 mg)	7920 \pm 7890	3.5, 1–12	71300 \pm 58900	27.6 \pm 29.7	4.0, 1–96	243 \pm 279
32.0 mg/g (128 mg)	12800 \pm 17300	7.0, 1–12	130000 \pm 172000	33.0 \pm 26.6	3.0, 2–12	258 \pm 192